

DG3 introduces the industry's most user friendly and advanced Summary Prospectus document solution.



■ OVERVIEW

Beginning in 2010, the Securities and Exchange Commission (SEC) is requiring mutual fund and exchange-traded fund (or ETF) firms to provide either a separate, printed “Summary Prospectus” in conjunction with an online summary and statutory prospectus, or a printed statutory prospectus that begins with a summary section covering the same six topics.

Considering that the effort involved in creating a summary section and a separate Summary Prospectus is similar, the Summary Prospectus is far more cost-effective.

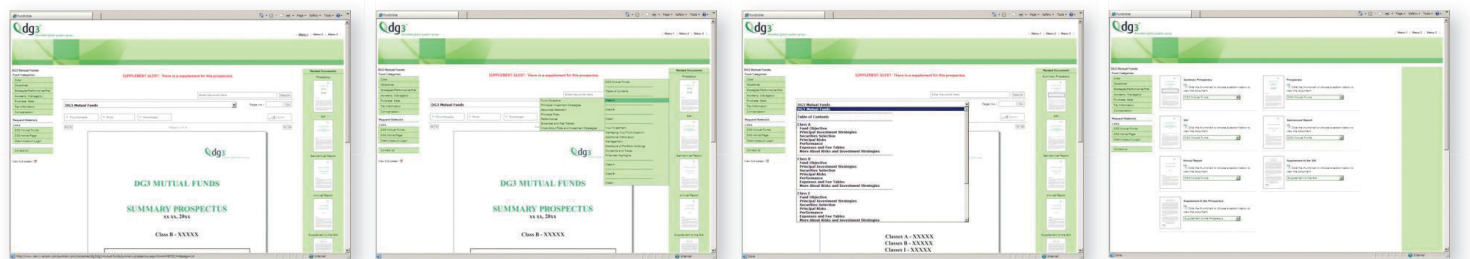
The Summary Prospectus is intended to improve mutual fund disclosure by requiring that funds provide investors with:

- A concise summary in plain English
- Key information the investor needs to make informed investment decisions



CHOOSE CAREFULLY

While the Summary Prospectus rule is straightforward, fund companies have many options and many choices to make in order to maximize benefits and minimize costs. Fund companies should carefully consider their choice of suppliers in light of the potential marketing impact of this new requirement and the introduction of new complexity into the compliance workflow. Look to us for the answers, as we have simplified the Summary Prospectus process.



■ GREEN TIMES TWO

The Summary Prospectus requirement will provide quantifiable cost savings to funds. By being able to send or give a Summary Prospectus rather than having to print and send statutory prospectuses to all investors and prospective investors, funds will experience cost savings with respect to both annual mailings to their current shareholders and mailings made in connection with a purchase of fund shares.

The SEC estimates that funds distribute approximately 300 million statutory prospectuses annually to their current shareholders and another 64.5 million in connection with fund purchases. By incorporating the Summary Prospectus, cost savings for annual mailings are estimated to be \$126 million and the cost savings for purchase mailings would be more than \$80 million.

The Summary Prospectus will also demonstrate that a mutual fund or ETF is smart by being considerate of the environment.

■ GET STARTED TODAY

Both in print and online, DG3 can help you create a customized Summary Prospectus that is aligned with your brand and fully compliant with SEC requirements, while setting new standards in clarity and effectiveness.

Working with DG3 is the best way to ensure that you find the most cost-effective mix of strategies and technologies to meet delivery and tracking requirements of the Summary rule.

Please visit the website below for an internet demonstration of DG3's solution: www.fundinsite.com

■ FUNDINSITE™ SOLUTION

DG3, a worldwide leader in printing and electronic communication solutions, introduces the industry's most user friendly and advanced Summary Prospectus document solution—FundInSite™. Key features include:

> **High quality marketing appeal and most user friendly for your shareholders:**

DG3's system preserves the marketing value of your company and makes the user experience transparent between your site and the document viewing site. The user interface provides more cross linking and hyper linking than any other system and multiple access points to documents and sections of documents and usability is created for all ranges of users. No plug-ins are required, no page loading times are needed.

> **Single source solution:** DG3's solution integrates proprietary viewer and website construction technology. The technology was developed and is managed in-house. We don't rely on any outside service providers or partners for any element of our service. In addition, we offer composition, EDGAR filing, on-demand and offset printing, mailing and fulfillment services. We provide inbound web, email and phone request processing.

> **Immediate availability of documents:** If we created the documents, they are available the instant they are approved by you. If we are receiving PDF's, they are available within 2–4 hours.

> **No customization fees:** Our proprietary system allows us to deploy a highly custom site with little expense and very little time, so we charge no customization or upfront fees for the system.

> **Rapid availability:** Site deployment can take as little as one week due to the proprietary structure of the technology.

> **Analytics engine:** DG3's system is the only system in the industry that provides real time analytics regarding the type of content being reviewed and several levels of insight that can help fund marketers learn more about shareholder interests through use and interaction with compliance documents, all without violating privacy laws.



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